

# Type-based XML processing in Logic Programming

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# Motivation

- XML: Standard for information exchange.

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- XSLT, DOM and SAX.
- Static validation.
- XDuce and HaXml.

## Main Goal

Use of Logic Programming with static validation for XML processing.

# Implementation

1. Translator from XML to Prolog.

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2. Translator from DTDs to Regular Types.



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3. Type inference.

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1. Translator from XML to Prolog.
2. Translator from DTDs to Regular Types.
3. Type inference.
4. Translator from Prolog to XML.

# Outline

- XML

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- DTD

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- Translation from DTDs to Regular Types
  - Type inference for XML processing programs

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- Translation from DTDs to Regular Types
- Type inference for XML processing programs
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- Type inference for XML processing programs
- Conclusions
- Future Work

# XML - eXtensible Markup Language

# XML - eXtensible Markup Language

## Example:

```
<addressbook>
  <name>Jorge</name>
  <address>Porto</address>
  <email>jorge@mailserver.pt</email>
  <name>Mario</name>
  <address>Lisboa</address>
  <address>Portugal</address>
  <phone>
    <home>12457834</home>
  </phone>
</addressbook>
```

# Document Type Definition

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DTDs are grammars that specify the document structure.

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DTDs are grammars that specify the document structure.

### Example:

```
<!ELEMENT addressbook (name,address+,phone?,email?)*>  
<!ELEMENT name (#PCDATA)>  
<!ELEMENT address (#PCDATA)>  
<!ELEMENT phone (home,mobile*)>  
<!ELEMENT email (#PCDATA)>  
<!ELEMENT home (#PCDATA)>  
<!ELEMENT mobile (#PCDATA)>
```



# Translation from XML to Prolog

## Translation from XML to Prolog

### Element with only character data

```
<!ELEMENT b (#PCDATA)>
```

```
<b>Text of element b</b>
```

```
b("Text of element b")
```

## Translation from XML to Prolog

### Element with only character data

```
<!ELEMENT b (#PCDATA)>  
  
<b>Text of element b</b>  
  
b("Text of element b")
```

### Empty element

```
<!ELEMENT b EMPTY>  
  
<b/>  
  
b
```

## Translation from XML to Prolog

### Element with sub elements

```
<!ELEMENT a (b,c)>  
<!ELEMENT b (#PCDATA)>  
<!ELEMENT c (#PCDATA)>
```

```
<a>  
  <b> Text for element b </b>  
  <c> Text for element c </c>  
</a>
```

```
a(  
  b(" Text for element b "),  
  c(" Text for element c "))
```

## Translation from XML to Prolog

### Element with zero or more occurrences

```
<!ELEMENT a (b)*>  
<!ELEMENT b (#PCDATA)>
```

```
<a>  
  <b> First b </b>  
  <b> Second b </b>  
  <b> Third b </b>  
</a>
```

```
a(  
  [b(" First b " ),  
   b(" Second b " ),  
   b(" Third b " )])
```

## Translation from XML to Prolog

### Element with one or more occurrences

```
<!ELEMENT a (b+,c)>  
<!ELEMENT b (#PCDATA)>  
<!ELEMENT c (#PCDATA)>
```

```
<a>  
  <b> Text for b </b>  
  <c> Text for c </c>  
</a>
```

```
a(  
  [b(" Text for b ")] ,  
  c(" Text for c "))
```

## Translation from XML to Prolog

### Optional element

```
<!ELEMENT a (b?,c)>
<!ELEMENT b (#PCDATA)>
<!ELEMENT c (#PCDATA)>
```

```
<a>
  <c> Text for c </c>
</a>
```

```
a(
  c(" Text for c "))
```

```
<a>
  <b> Text for b </b>
  <c> Text for c </c>
</a>
```

```
a(
  b(" Text for b "),
  c(" Text for c "))
```

# Translation from XML to Prolog

## Disjoint elements

```
<!ELEMENT a (b|c)>  
<!ELEMENT b (#PCDATA)>  
<!ELEMENT c (#PCDATA)>
```

```
<a> <b> Text </b> </a>
```

```
<a> <c> Another text </c> </a>
```

```
a(b(" Text "))
```

```
a(c(" Another text "))
```



## Translation guided by DTDs

Using two distinct DTDs to validate the same document can lead to different (valid) terms:

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Using two distinct DTDs to validate the same document can lead to different (valid) terms:

```
<a>
  <b> First b </b>
  <b> Second b </b>
</a>
```

```
<!ELEMENT a (b,b)>
<!ELEMENT b (#PCDATA)>
a(
  b(" First b "),
  b(" Second b "))
```

```
<!ELEMENT a b*>
<!ELEMENT b (#PCDATA)>
a(
  [b(" First b "),
   b(" Second b ")])
```

# Regular Types

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Given the following rules:

- $\alpha \rightarrow \{a\}$
- $\beta \rightarrow \{nil, .(\alpha, \beta)\}$

## Regular Types

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$$\underbrace{\text{Type symbol} \rightarrow \{\text{Types that describe terms}\}}_{\text{Type Rule}}$$

Given the following rules:

- $\alpha \rightarrow \{a\}$
- $\beta \rightarrow \{nil, .(\alpha, \beta)\}$

*Regular Types* produced by  $\alpha$ :

$\{a\}$

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$\{a\}$

*Regular Types* produced by  $\beta$ :

$\{nil, .(a, nil), .(a, a, nil), \dots\}$

## Type inference (Zobel 1990)

We built a type inference system that uses *Regular Types* as an approximation to program types. For example, given the next program:

```
p(0) .  
p(f(X)) :- q(X), X=f(Y) .  
q(f(0)) .  
q(g(X)) .  
q(f(X)) :- p(X) .
```

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p(f(X)) :- q(X), X=f(Y) .
q(f(0)) .
q(g(X)) .
q(f(X)) :- p(X) .
```

The system reaches the following types:

- $\alpha_p \rightarrow \{0, f(f(\alpha_1))\}$
- $\alpha_q \rightarrow \{g(\mu), f(\alpha_1)\}$
- $\alpha_1 \rightarrow \{0, \alpha_p\}$

# Translating from DTDs to Regular Types

## Translating from DTDs to Regular Types

Element with only character data

$$\mathcal{T}(\langle !ELEMENT\ e\ (\#PCDATA)\ \rangle) = \tau_e \rightarrow \{e(\textit{string})\}$$



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$$\mathcal{T}(\langle \text{!ELEMENT } e \text{ (\#PCDATA)} \rangle) = \tau_e \rightarrow \{e(\textit{string})\}$$

`<!ELEMENT a (#PCDATA)>`

## Translating from DTDs to Regular Types

### Element with only character data

$$\mathcal{T}(\langle !ELEMENT\ e\ (\#PCDATA)\ \rangle) = \tau_e \rightarrow \{e(\textit{string})\}$$

$\langle !ELEMENT\ a\ (\#PCDATA)\ \rangle$

$$\tau \rightarrow \{a(\textit{string})\}$$

# Translating from DTDs to Regular Types

Empty element

$$\mathcal{T}(\langle !ELEMENT\ e\ EMPTY \rangle) = \tau_e \rightarrow \{e\}$$

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## Empty element

$$\mathcal{T}(\langle \text{!ELEMENT } e \text{ EMPTY} \rangle) = \tau_e \rightarrow \{e\}$$

$\langle \text{!ELEMENT } a \text{ EMPTY} \rangle$

$$\tau \rightarrow \{a\}$$

# Translating from DTDs to Regular Types

Element with any contents

$$\mathcal{T}(\langle \text{!ELEMENT } e \text{ ANY } \rangle) = \tau_e \rightarrow \{e(\mu)\}$$

## Translating from DTDs to Regular Types

Element with any contents

$$\mathcal{T}(\langle !ELEMENT\ e\ ANY \rangle) = \tau_e \rightarrow \{e(\mu)\}$$

`<!ELEMENT a ANY>`

# Translating from DTDs to Regular Types

## Element with any contents

$$\mathcal{T}(\langle \text{!ELEMENT } e \text{ ANY } \rangle) = \tau_e \rightarrow \{e(\mu)\}$$

$\langle \text{!ELEMENT } a \text{ ANY} \rangle$

$$\tau \rightarrow \{a(\mu)\}$$



## Translating from DTDs to Regular Types

### Element with sub elements

$$\begin{aligned} \mathcal{T}(\langle !ELEMENT\ e\ (e_1, \dots, e_n) \rangle) &= \tau_e \rightarrow \{e(\tau_{e_1}, \dots, \tau_{e_n})\}, \text{ where} \\ \mathcal{T}(\langle !ELEMENT\ e_i \rangle) &= \tau_{e_i} \rightarrow \Upsilon_{e_i}, \\ &\text{for } 1 \leq i \leq n \end{aligned}$$

## Translating from DTDs to Regular Types

### Element with sub elements

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$$\mathcal{T}(\langle !ELEMENT\ e_i \rangle) = \tau_{e_i} \rightarrow \Upsilon_{e_i},$$

for  $1 \leq i \leq n$

`<!ELEMENT a (b,c)>`

`<!ELEMENT b (#PCDATA)>`

`<!ELEMENT c (#PCDATA)>`

## Translating from DTDs to Regular Types

### Element with sub elements

$$\mathcal{T}(\langle !ELEMENT\ e\ (e_1, \dots, e_n) \rangle) = \tau_e \rightarrow \{e(\tau_{e_1}, \dots, \tau_{e_n})\}, \text{ where}$$

$$\mathcal{T}(\langle !ELEMENT\ e_i \rangle) = \tau_{e_i} \rightarrow \Upsilon_{e_i},$$

for  $1 \leq i \leq n$

```

<!ELEMENT a (b,c)>
<!ELEMENT b (#PCDATA)>
<!ELEMENT c (#PCDATA)>

```

```

τ1  → {a(τ2, τ3)}
τ2  → {b(string)}
τ3  → {c(string)}

```

## Translating from DTDs to Regular Types

Element with zero or more occurrences

$$\begin{aligned} \mathcal{T}(\langle \text{!ELEMENT } e \ e_1^* \rangle) &= \tau_e \rightarrow \{nil, .(\tau_{e_1}, \tau_e)\}, \text{ where} \\ \mathcal{T}(\langle \text{!ELEMENT } e_1 \rangle) &= \tau_{e_1} \rightarrow \Upsilon_{e_1} \end{aligned}$$

## Translating from DTDs to Regular Types

### Element with zero or more occurrences

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```
<!ELEMENT a b*>  
<!ELEMENT b (#PCDATA)>
```

## Translating from DTDs to Regular Types

### Element with zero or more occurrences

$$\mathcal{T}(\langle \text{!ELEMENT } e \ e_1^* \rangle) = \tau_e \rightarrow \{nil, .(\tau_{e_1}, \tau_e)\}, \text{ where}$$

$$\mathcal{T}(\langle \text{!ELEMENT } e_1 \rangle) = \tau_{e_1} \rightarrow \Upsilon_{e_1}$$

```

<!ELEMENT a b*>
<!ELEMENT b (#PCDATA)>

```

```

τ1 → {a(τ2)}
τ2 → {nil, .(τ3, τ2)}
τ3 → {b(string)}

```

## Translating from DTDs to Regular Types

### Element with one or more occurrences

$$\begin{aligned} \mathcal{T}(\langle !ELEMENT\ e\ e_1+ \rangle) &= \tau_e \rightarrow \{.(\tau_{e_1}, nil), .(\tau_{e_1}, \tau_e)\}, \text{ where} \\ \mathcal{T}(\langle !ELEMENT\ e_1 \rangle) &= \tau_{e_1} \rightarrow \Upsilon_{e_1} \end{aligned}$$

## Translating from DTDs to Regular Types

### Element with one or more occurrences

$$\mathcal{T}(\langle \text{!ELEMENT } e \ e_1^+ \rangle) = \tau_e \rightarrow \{.(\tau_{e_1}, nil), .(\tau_{e_1}, \tau_e)\}, \text{ where}$$

$$\mathcal{T}(\langle \text{!ELEMENT } e_1 \rangle) = \tau_{e_1} \rightarrow \Upsilon_{e_1}$$

```

<!ELEMENT a b+>
<!ELEMENT b (#PCDATA)>

```



## Translating from DTDs to Regular Types

### Element with one or more occurrences

$$\mathcal{T}(\langle \text{!ELEMENT } e \ e_1^+ \rangle) = \tau_e \rightarrow \{.(\tau_{e_1}, nil), .(\tau_{e_1}, \tau_e)\}, \text{ where}$$

$$\mathcal{T}(\langle \text{!ELEMENT } e_1 \rangle) = \tau_{e_1} \rightarrow \Upsilon_{e_1}$$

`<!ELEMENT a b+>`  
`<!ELEMENT b (#PCDATA)>`

$$\tau_1 \rightarrow \{a(\tau_2)\}$$

$$\tau_2 \rightarrow \{.(\tau_3, nil), .(\tau_3, \tau_2)\}$$

$$\tau_3 \rightarrow \{b(string)\}$$

# Translating from DTDs to Regular Types

## Disjoint elements

$$\mathcal{T}(\langle \text{!ELEMENT } e (e_1 | \dots | e_n) \rangle) = \tau_e \rightarrow \{\tau_{e_1}, \dots, \tau_{e_n}\}, \text{ where}$$

$$\mathcal{T}(\langle \text{!ELEMENT } e_i \rangle) = \tau_{e_i} \rightarrow \Upsilon_{e_i},$$

$$\text{for } 1 \leq i \leq n$$



```
<!ELEMENT a (b|c)>  
<!ELEMENT b (#PCDATA)>  
<!ELEMENT c (#PCDATA)>
```

```
<!ELEMENT a (b|c)>  
<!ELEMENT b (#PCDATA)>  
<!ELEMENT c (#PCDATA)>
```

$$\tau_1 \rightarrow \{a(\tau_2)\}$$
$$\tau_2 \rightarrow \{\tau_3, \tau_4\}$$
$$\tau_3 \rightarrow \{b(\textit{string})\}$$
$$\tau_4 \rightarrow \{c(\textit{string})\}$$

## Translating from DTDs to Regular Types

### Optional element

$$\mathcal{T}(\langle !ELEMENT\ e\ (e_1, \dots, e_i?, \dots, e_n) \rangle) = \tau_e \rightarrow \{e(\tau_{e_1}, \dots, \tau_{e_{i-1}}, \tau_{e_{i+1}}, \dots, \tau_{e_n}), e(\tau_{e_1}, \dots, \tau_{e_{i-1}}, \tau_{e_i}, \tau_{e_{i+1}}, \dots, \tau_{e_n})\},$$

where

$$\mathcal{T}(\langle !ELEMENT\ e_i \rangle) = \tau_{e_i} \rightarrow \Upsilon_{e_i},$$

for  $1 \leq i \leq n$

## Translating from DTDs to Regular Types

### Optional element

```
<!ELEMENT a (b,c?,d?)>  
<!ELEMENT b (#PCDATA)>  
<!ELEMENT c (#PCDATA)>  
<!ELEMENT d (#PCDATA)>
```

# Translating from DTDs to Regular Types

## Optional element

```
<!ELEMENT a (b,c?,d?)>
<!ELEMENT b (#PCDATA)>
<!ELEMENT c (#PCDATA)>
<!ELEMENT d (#PCDATA)>
```

$$\begin{aligned} \tau_1 &\rightarrow \{a(\tau_2), a(\tau_2, \tau_3), \\ &\quad a(\tau_2, \tau_4), a(\tau_2, \tau_3, \tau_4)\} \\ \tau_2 &\rightarrow \{b(\textit{string})\} \\ \tau_3 &\rightarrow \{c(\textit{string})\} \\ \tau_4 &\rightarrow \{d(\textit{string})\} \end{aligned}$$



# Type inference for XML processing programs

- DTDs used as type declarations.

## Type inference for XML processing programs

- DTDs used as type declarations.
- Use of standard type checking for validation.

## Type inference for XML processing programs

$$p(a(X, Y), d(X, Y)).$$

Input DTD:

```
<!ELEMENT a (b,c)>  
<!ELEMENT b (#PCDATA)>  
<!ELEMENT c (#PCDATA)>
```

# Type inference for XML processing programs

$$p(a(X, Y), d(X, Y)).$$

Input DTD:

```
<!ELEMENT a (b, c)>  
<!ELEMENT b (#PCDATA)>  
<!ELEMENT c (#PCDATA)>
```

Regular types:

$$\begin{aligned}\tau_a &\rightarrow \{a(\tau_b, \tau_c)\} \\ \tau_b &\rightarrow \{b(\textit{string})\} \\ \tau_c &\rightarrow \{c(\textit{string})\}\end{aligned}$$

## Type inference for XML processing programs

$$p(a(X, Y), d(X, Y)).$$

Output DTD:

```
<!ELEMENT d (e,c)>  
<!ELEMENT e (#PCDATA)>  
<!ELEMENT c (#PCDATA)>
```

# Type inference for XML processing programs

$$p(a(X, Y), d(X, Y)).$$

Output DTD:

```
<!ELEMENT d (e,c)>  
<!ELEMENT e (#PCDATA)>  
<!ELEMENT c (#PCDATA)>
```

Regular types:

$$\begin{aligned}\tau_d &\rightarrow \{d(\tau_e, \tau_c)\} \\ \tau_e &\rightarrow \{e(\textit{string})\} \\ \tau_c &\rightarrow \{c(\textit{string})\}\end{aligned}$$

## Type inference for XML processing programs

$$p(a(X, Y), d(X, Y)) :: \langle \tau_a, \tau_d \rangle$$

$$\tau_a \rightarrow \{a(\tau_b, \tau_c)\}$$

$$\tau_b \rightarrow \{b(\textit{string})\}$$

$$\tau_c \rightarrow \{c(\textit{string})\}$$

## Type inference for XML processing programs

$$p(a(X, Y), d(X, Y)) :: \langle \tau_a, \tau_d \rangle$$

$$\tau_a \rightarrow \{a(\tau_b, \tau_c)\}$$

$$\tau_b \rightarrow \{b(\text{string})\}$$

$$\tau_c \rightarrow \{c(\text{string})\}$$

$$\tau_d \rightarrow \{d(\tau_e, \tau_c)\}$$

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$$\tau_b \rightarrow \{b(\text{string})\}$$

$$\tau_c \rightarrow \{c(\text{string})\}$$

$$\tau_d \rightarrow \{d(\tau_e, \tau_c)\}$$

$$\tau_e \rightarrow \{e(\text{string})\}$$

$$\tau_c \rightarrow \{c(\text{string})\}$$

$$\tau_b \cap \tau_e = \emptyset \Rightarrow \text{TYPE ERROR}$$

## Type inference for XML processing programs

### Example:

If we want to translate the next document:

```
<catalogue>
  <book>
    <title> The Art of Computer Programming - Volume 1</title>
    <author> D. Knuth </author>
    <year> 1997 </year>
    <publisher> Addison-Wesley </publisher>
  </book>
  ...
</catalogue>
```

## Type inference for XML processing programs

### Example:

Validated by the DTD:

```
<!ELEMENT catalogue (book)+>  
<!ELEMENT book (title,author,year,publisher)>  
<!ELEMENT title (#PCDATA)>  
<!ELEMENT author (#PCDATA)>  
<!ELEMENT year (#PCDATA)>  
<!ELEMENT publisher (#PCDATA)>
```

## Type inference for XML processing programs

### Example:

To this new document:

```
<catalogue>
  <book>
    <title> The Art of Computer Programming - Volume 1</title>
    <year> 1997 </year>
  </book>
  ...
</catalogue>
```

## Type inference for XML processing programs

### Example:

Validated by the DTD:

```
<!ELEMENT catalogue (book)+>  
<!ELEMENT book (title,year)>  
<!ELEMENT title (#PCDATA)>  
<!ELEMENT year (#PCDATA)>
```

## Type inference for XML processing programs

### Example:

The next (simple) program is enough:

```
process(catalogue(L1), catalogue(L2)) :-  
    conversion(L1, L2).
```

```
conversion([book(A,_,Z,_)], [book(A,Z)]).
```

```
conversion([book(A,_,Z,_)|R1], [book(A,Z)|R2]) :-  
    conversion(R1, R2).
```



## Conclusions

- Relation between Regular Types and DTDs.

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- Translating XML documents to Prolog terms.

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- Relation between Regular Types and DTDs.
- Translating XML documents to Prolog terms.
- Type checking leads to correct processing of XML.

## Future work

- Improve the efficiency of the type inference algorithm.

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- “Real-world” applications.

**END**